





# OLD MUTUAL UNIT TRUSTS TRANSFER FORM *continued*

## 3. TRANSFER TO (TRANSFeree): *continued*

### DETAILS OF PERSON(S) ACTING ON BEHALF OF INVESTOR (PARENT/GUARDIAN)

Surname and initials	<input type="text"/>		
ID/Passport number	<input type="text"/>	Date of birth	<input type="text"/> <small>D D M M C C Y Y</small>
Surname and initials	<input type="text"/>		
ID/Passport number	<input type="text"/>	Date of birth	<input type="text"/> <small>D D M M C C Y Y</small>
Physical address	<input type="text"/>		
Postcode	<input type="text"/>		
Tel. (Code)	<input type="text"/> (Home)	(Code)	<input type="text"/> (Work)
Fax	<input type="text"/>	Cellphone	<input type="text"/>

CONFIRM TYPE OF ASSETS  Retail  Institutional

- **Institutional assets** refer to assets held or managed on behalf of institutional investors, i.e. retirement funds, long-term insurers, collective investment scheme managers and investment managers registered with Exchange Control as institutional investors for exchange control purposes.
- **Retail assets** refer to assets received from individuals and other entities, such as companies and trusts, etc. and include retail assets received indirectly through an intermediary, such as a linked investment service provider (LISP), nominee company or an investment manager **not** registered as an institutional investor with Exchange Control.

### DISTRIBUTION SELECTION

Please indicate whether distributions must be:

Reinvested      or       Paid out

Please note if no selection is made, distributions are automatically reinvested.

## 4. TRANSFEREE'S BANK DETAILS

Payments can only be made to your own bank account (except in the case of minors).

Surname of account holder	<input type="text"/>		Initials <input type="text"/>
Bank and Branch	<input type="text"/>		
Branch code and Account number	<input type="text"/>		
Account type:	Current <input type="checkbox"/>	Savings	<input type="checkbox"/>

## 5. DECLARATION

I, the Transferee, hereby warrant that I have full power and authority to enter into and conclude this agreement. I agree to accept the number of units transferred to me by virtue of this application and I warrant that I have full power and authority to enter into and conclude this transaction, with the necessary assistance where such assistance is a legal requirement. I am aware that this product offers no cooling-off rights. I know that there are no guarantees on my capital. I acknowledge that there are further allowable deductions from the unit trust (e.g. an annual service fee) that impact on the value of my investment. I am satisfied that the facts provided are accurate and complete. I am aware of the unit trust's/unit trusts' objectives, risk factors, the charges and income distributions, as set out in the unit trusts fund fact sheet/s. I acknowledge that the Old Mutual Unit Trust Managers Ltd may borrow up to 10% of the portfolio to bridge insufficient liquidity. I have read and fully understood all pages of this form. I further authorise Old Mutual Unit Trust Managers Ltd to accept instructions from me via facsimile (please delete if not applicable).

Signed this	<input type="text"/>	day of	<input type="text"/>	(year)	
Witness	<input type="text"/>				<input type="text"/>
	(SIGNATURE OF WITNESS)				(SIGNATURE OF TRANSFEEE)
Address	<input type="text"/>				
	PRINT NAME OF TRANSFEEE CAPACITY; E.G. EXECUTOR/GUARDIAN/INSTITUTION <input type="text"/>				

### IF TRANSFERRING TO A SHARI'AH-COMPLIANT UNIT TRUST (Old Mutual Albaraka Equity Fund and Old Mutual Albaraka Balanced Fund)

I acknowledge that I have opted to invest into a Shari'ah-compliant unit trust, which means that it will be managed in accordance with the guidelines of the Shari'ah Supervisory Board and having regard to the Shari'ah Standards of the Accounting and Auditing Organisation for Islamic Financial Institutions (AAOIFI). I agree that any income deemed to be non-permissible by the Shari'ah Supervisory Board will be paid to the charitable trust elected by the Shari'ah Supervisory Board in line with the principles of Shari'ah and the supplemental deed of the unit trust. I acknowledge that this does not form part of my income and will not reflect on my tax certificate. I take note that in some instances, income may be reflected as "interest income" in line with current tax practices on my tax certificate, but accept that such income is fully Shari'ah compliant and has been approved as such by the Shari'ah Supervisory Board.

Date	<input type="text"/> <small>D D M M C C Y Y</small>		Signature of Transferee <input type="text"/>
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# OLD MUTUAL UNIT TRUSTS TRANSFER FORM *continued*

## 6. DECLARATION BY ADVISER/BROKER

### SPECIAL INSTRUCTIONS

I, the undersigned, hereby declare and warrant that I am duly authorised to render financial services in respect of this product. All parties concerned have been identified and verified and all relevant documentation has been obtained and appropriate procedures have been applied in accordance with FICA (Act 38 of 2001).

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Name of introducer	Branch	Intermediary/Broker code	Tel. no.
<input type="text"/>		<input type="text"/>	
Introducer's e-mail address		Signature of introducer	

## 7. PROTECTION OF PERSONAL INFORMATION

The Old Mutual Group would like to offer you ongoing financial services and may use your personal information to provide you with information about products or services that may be suitable to meet your financial needs. Please SMS your ID number to 45600 if you would prefer not to receive such financial services. To view our full privacy notice and to exercise preferences, visit our website on [www.oldmutual.co.za](http://www.oldmutual.co.za).

## 8. STATUTORY INFORMATION

Unit trusts are generally medium- to long-term investments. Past performance is no indication of future performance. Shorter term fluctuations can occur as your investment moves in line with the markets. Fluctuations or movements in exchange rates may cause the value of underlying international investments to go up or down. Unit trusts can engage in borrowing and scrip lending. The fund's TER reflects the percentage of the average Net Asset Value of the portfolio that was incurred as charges, levies and fees related to the management of the portfolio. A higher TER does not necessarily imply a poor return, nor does a low TER imply a good return. The current TER cannot be regarded as an indication of future TERs. A schedule of fees, charges and maximum commissions is available from Old Mutual Unit Trust Managers Ltd (OMUT). You may sell your investment at the ruling price of the day (calculated at 15h00 on a forward pricing basis and 17h00 at month-end for Old Mutual RAFI® 40 Tracker Fund, Old Mutual Top 40 Fund and Sym|metry Equity Fund of Funds). The Old Mutual Money Market Fund unit price aims to be static but investment capital is not guaranteed. The total return is primarily made up of interest (declared daily at 13h00), but may also include any gain/loss on any particular instrument. In most cases this will merely have the effect of increasing or decreasing the daily yield, but in an extreme case it can have the effect of reducing the capital value of the fund. Specialist equity funds may hold a greater risk as exposure limits to a single security may be higher. A feeder fund is a portfolio that, apart from assets in liquid form, consists solely of participatory interests in a single portfolio of a collective investment scheme. A fund of funds unit trust invests only in other collective investment schemes, which may levy their own charges. Certain funds may be capped to be managed in accordance with their mandates. Different classes of units apply to these portfolios and are subject to different fees and charges. Old Mutual Unit Trust Managers Ltd is a member of the Association for Savings and Investment South Africa (ASISA).

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## CONTACT DETAILS

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Professional indemnity cover and fidelity insurance cover are in place.

Old Mutual Investment Administrators (Pty) Ltd is a third party administration and service provider to Old Mutual Unit Trust Managers Limited and is a licensed financial services provider.

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