

Please print in block letters using black or blue ink.

FUND DETAILS
Protektor Preservation Pension Fund

Reference numbers

Protektor Preservation Provident Fund

Reference numbers

PLEASE SEND THE COMPLETED FORM TO:

Email protektor@oldmutual.com

Fax +27 (0)21 509 2125

1. IMPORTANT

Units will be sold from one fund and purchased in the other fund after acceptance of this request. The value of such units may fluctuate and is not guaranteed.

Please note

In terms of the Rules of the Fund, investment rules apply for switches from the CoreGrowth and Absolute Stable Growth Portfolios.

You have one of the following options:

i) Switch at Book Value

- Switches are allowed twice a year.
- Notice of your intention to switch must be given via fax or email.

CoreGrowth and Absolute Stable Growth Portfolios
<ul style="list-style-type: none"> - Switches at the investment account value twice a year on 30 September and 31 March. - A minimum of 3 months' notice for these switches is required. i.e. a completed switch form must reach Old Mutual by 30 June and 31 December. - There is an annual limit to the Book Value Switch facility to protect investors in these portfolios in adverse market conditions when the Bonus Smoothing Reserve is negative.
<p>Protektor reserves the right to limit the total amount that may be switched out of CoreGrowth if they exceed 10% of the total value of the portfolio held by each of the Protektor Pension and Provident Preservation Funds.</p>

ii) Switch at Market Value
CoreGrowth and Absolute Stable Growth Portfolios

- Switches on a monthly basis at investment account value less a market value adjuster when applicable.
- Old Mutual must receive this instruction by close of business on the 20th of each month via fax or email. Any instruction received after this date will only be processed in the following month.
- The purpose of the Market Value Adjuster (MVA) is to protect the policyholders remaining in the fund.
- An MVA is applied when the market value of the assets are less than the investment account value.
- The MVA is expressed as a percentage (%) of the investment Account, so the amount switched is reduced by Investment Account Amount x MVA%
- Old Mutual retains ultimate discretion on the level of an MVA.
- Your estimated switch value can be obtained by calling the Old Mutual Member Servicing Centre on 0860 20 30 40

Example A:

MVA = 0%; Investment Account = R1 000

Member wants to switch all his money to another fund. Investment Account reduces by 0% and R1 000 is switched.

Example B:

MVA = 10%; Investment account = R1 000

Member wants to switch all his money to another fund. Investment Account reduces by 10% and only R900 is switched.

Guaranteed Fund

- Switches are allowed once a month.
- Notice of your intention to switch must be given one calendar month in advance via fax or email.
- The amount available will be the lower of your investment account value (book value) in the Guaranteed Fund, or the market value of the actual assets underlying your investment.
- An estimated value will be provided on your request and this amount will be available for re-investing in other options.

2. MEMBER DETAILS

Surname																																	
Full name(s)																																	
Identity number											Date of birth	D D M M Y Y Y Y																					
Fund code											Reference number																						
Address																													Postcode				

CONTACT DETAILS

Telephone: (H) Code						No.																								
Telephone: (W) Code						No.																								
Cellphone																														
Email address																														

3. SWITCHING DETAILS

PORTFOLIO	FROM		TO	
	Percentage (%)	Amount (R)	Percentage (%)	Amount (R)
Old Mutual Absolute Stable Growth Portfolio				
Old Mutual Balanced Fund*			(NOT AVAILABLE)	
Old Mutual Bond Fund*			(NOT AVAILABLE)	
Old Mutual CoreGrowth Portfolio*			(NOT AVAILABLE)	
Old Mutual Money Market Fund*			(NOT AVAILABLE)	
Old Mutual Flexible Fund*			(NOT AVAILABLE)	
Old Mutual Income Fund*			(NOT AVAILABLE)	

* Portfolio closed for new business

PLEASE NOTE:

No switches into Balanced Fund, Bond Fund, CoreGrowth Portfolio, Money Market Fund, Flexible Fund and Income Fund.

Only full (100%) switches into Old Mutual Absolute Stable Growth Portfolio.

COMPLIANCE WITH THE PROTECTION OF PERSONAL INFORMATION ACT (PPI, POPI)

Protektor Preservation Fund may collect, use and share your personal information for the following purposes:

- To administer your membership of the Protektor Preservation Fund;
- To provide you with information about offerings that will support and enhance your retirement benefits;
- To provide products or services to you, to carry out the transaction you requested and to maintain our relationship;
- For underwriting purposes;
- To assess and process claims;
- To conduct credit reference searches or verification;
- To confirm and verify your identity, address or banking details;
- To verify that you are an authorised user for security purposes;
- For maintaining the accuracy of your personal information;
- For operational purposes, and where applicable, credit scoring and assessment and credit management;
- For purposes of claim checks (e.g. the Industry Life and Claims Register);
- For the detection and prevention of fraud, crime, money laundering or other malpractice;
- To trace you where you are uncontactable;
- To conduct market or customer satisfaction research or for statistical analysis;
- For audit and record keeping purposes;
- For social responsibility purposes;
- In connection with legal proceedings;
- Sharing information with your employer (its intermediary/broker), your intermediary/broker (when applicable), service providers we engage to process such information on our behalf or who render services to us. These service providers may be abroad, but we will not share your information with them unless we are satisfied that they have adequate security measures in place to protect your personal information;
- To comply with legal and regulatory requirements or industry codes or when otherwise allowed by law.

You agree that we may view, search and update your information and you further agree we may, where required, process your special personal information (and share this information with relevant third parties) in order to achieve a purpose set out above.

You warrant that when you give us personal information about third parties, this information is accurate and correct, and you have received their permission to share their personal information with us.

You confirm that if you are giving consent for a person under 18 (a minor) you have the required authority to do so.

We may transfer your personal information to another country for processing or storage. We will ensure that anyone to whom we pass your personal information agrees to treat your information with the same level of protection as we are obliged to.

You may access the personal information that we hold about you and may also request us to correct any errors or, under certain circumstances request us to delete this information. In certain circumstances, you have the right to object to the processing of your personal information. To do this, simply contact us at the numbers/addresses listed below and specify what information you would like or if you have any questions about this Notice, please contact us at:

- Member Call Centre: 0860 20 30 40
- protektorenquiriescomplaints@oldmutual.com

You have the right to complain to the Information Regulator, whose contact details are:

- www.justice.gov.za/infoereg/index.html
- General enquiries: enquiries@infoeregulator.org.za

Complaints (complete POPIA/PAIA form 5)

- paia.complaints@infoeregulator.org.za should your PAIA request be denied or there is no response from a public or private bodies for access to records you may use this email address to lodge a complaint.
- popiacomplaints@infoeregulator.org.za should you feel that your personal information has been violated, you may use this email address to lodge a complaint.

Please visit <https://www.oldmutual.co.za/corporate/protektor-preservation/protektor-customer-privacy-notice> to read the full version of the Fund Member Privacy Notice.

4. DECLARATION

- I understand the risk associated with choosing my own investment portfolio;
- I understand the investment risk is borne by me and that the Protektor Funds, the Trustees and the Administrator of the Funds will not be held liable for any losses incurred by me as a result of the choices that I make;
- I understand the costs associated with the different investment portfolios;
- I understand that the onus is on me to ensure that the investment change instruction is received by Old Mutual. Therefore, if Old Mutual does not acknowledge receipt of my instruction within five working days, then the change may not have taken place.
- I am aware that no administrative fee will be applied to any switching instruction.
- I understand that should this form be incomplete or inaccurately completed, the instruction may not be actioned by Old Mutual. Old Mutual and the Fund will not be liable for any losses should an incorrect or no transaction take place and I do not notify the Fund or Old Mutual within 1 month from the date of the investment change.
- I declare that I understand the risk profile of the investment portfolio(s) of my choice and that I have obtained advice where appropriate.
- I declare that I have obtained advice where appropriate and where I have not obtained advice, that I understand the implication and consequences of my choice.
- I indemnify the Fund, the Trustees, the Principal Officer of the Fund, and Old Mutual against any claims whatsoever arising from my investment portfolio choice.
- I understand that, for Old Mutual Absolute Growth Portfolios and/or Old Mutual CoreGrowth 100 Fund, there is an annual limit to Book Value Switches in order to protect investors in these portfolios in adverse market conditions when the Bonus Smoothing Reserve is negative. In the event that the Bonus Smoothing Reserve is negative and the annual limit is exceeded (i.e. the Book Value Switch offer is oversubscribed), members' switch requests will be reduced proportionately and only the portion which is available to switch at book value will be moved. The balance will be retained in the relevant investment portfolio.
- I also understand that for a Market Value switch out of Old Mutual CoreGrowth 100 Fund, that the switch will be processed at lower of book and market value.

Member's Signature

Date

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