



**OLD MUTUAL INVEST  
TAX FREE PLAN  
TRANSFER OUT FORM**

Old Mutual Life Assurance Company (South Africa) Limited, registration number 1999/004643/06 (Old Mutual), a licensed Financial Services Provider.

**RSA**

**Existing contract number**

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

**Investment plan number** (e.g. OMINV/1)

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

**Adviser code (if applicable)** (e.g. PFA: A123456  
BROKER: 78870)

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

**Please print in block letters using black or blue ink.**

**Please fax the completed application form:**

**If you are a customer: 0860 60 7500/9500**

**If you are an adviser: 0860 000 201**

**This application form has been checked for completeness and accuracy by: (only applicable to adviser)**

Name

Telephone number

Email address

**IMPORTANT NOTES**

- Please attach proof of identity.
- Please attach a completed copy of the Transfer Request form from the receiving product provider.
- FICA documents (e.g. proof of identity, FICA Addendum, FICA Declaration, utility bill) are required when you disinvest or cancel your plan within 3 years of the start of the plan where yearly total of recurring payments is less than R25 000 or a single payments is less than R50 000.
- If you fail to comply with the above requirements it may, at the discretion of Old Mutual Life Assurance Company (South Africa) Limited, result in the rejection of this instruction, with resultant delays in processing the request.
- Old Mutual Life Assurance Company (South Africa) Limited reserves the right to retain for a specific period, any amounts of money that require debit order or stop order clearance until we confirm the clearance.

**PLEASE SELECT ONE RELEVANT BLOCK FOR REQUESTED TRANSACTION**

**Full transfer out:**

- Continue paying your regular investment (if applicable)
- Will no longer be paying regular investments (if applicable)

**Part transfer out:**

- Continue paying your regular investment (if applicable)
- Will no longer be paying regular investments (if applicable)

**SECTION 1 DETAILS OF CONTRACTING PARTY**

Title Mr  Ms  Mrs  Other  Initials

Surname

First name

Previous surname (if applicable)

Identity number  Are you a South African Yes  No

Passport number  (where no South African ID number is available)

Country of issue of passport

Date of birth  Income tax number

Residential address  Postal code

Postal address  Postal code

Telephone (Work) Code  No.

Telephone (Home) Code  No.

Cellphone

Fax Code  No.

Email address

## SECTION 2 TRANSFER OUT

### APPLICATION FOR A TRANSFER OUT: OLD MUTUAL

2.1 I hereby request a transfer out, subject to the terms and conditions set out herein.

2.2 I understand that Old Mutual reserves the right to undertake any realisation of investments necessary for transfer out purposes over a period of up to 30 trading days on the relevant stock exchange(s). Old Mutual reserves the right to determine the total transfer out value by reference to the amounts realised for investments over that period. If no investment funds are indicated, the total amount or units invested will be proportionally transferred from all current investment funds. Old Mutual is entitled to delay the payment of any disinvestment or the processing of switches if any party holding assets on their behalf or any of their partners delay payment of the proceeds to them. If there is a restriction on the sale of assets in the underlying investment fund, which can happen from time to time, the same restriction will apply to your underlying investment fund. This can significantly delay the processing of the transfer.

#### I warrant that:

2.3 My/the contracting party's estate has not been sequestered/liquidated; and

#### I understand that:

2.4 The price payable for the units to be transferred out in terms of this request will, under normal circumstances, be the management company's price ruling on the business day after Old Mutual received the documentation.

2.5 If the units tendered for transfer out have been ceded, the cessionary must provide written consent before we can process the transfer.

2.6 The actual rand amount of the transfer out may not be equal to the amount requested, due to daily fluctuations in the unit price.

## SECTION 3 COMMUNICATION

I hereby instruct Old Mutual Life Assurance Company (South Africa) Limited to send me, the contracting party, confirmation of the actual values available via the communication medium indicated by myself below. Email or fax will be used as the preferred medium of communication. Postage is not an option.

Email

OR

Fax Code  No.

## SECTION 4 DECLARATIONS

- It is my responsibility to ensure that this form is fully completed and accurate before I sign it.
- I will on request be provided with a copy or a printed record of this transaction.

#### I understand that:

- The transaction will only be processed once Old Mutual has received the required confirmation.

**I confirm that I have read this declaration and the transfer out terms and conditions. I understand the content and implications thereof. I declare that I have full power/authority to apply for and enter into this transaction.**

Signed at (place)  on (date)

Signature of contracting party/authorised person

Signature of legal guardian

## SECTION 5 IDENTIFICATION

Old Mutual requests this identification as a precaution to safeguard the interests of the contracting party/ies. It must be completed by a commissioner of oaths, an Old Mutual administrative officer, Old Mutual financial adviser or Old Mutual contracted broker. For customers outside South Africa a justice of the peace, solicitor or local police must complete this.

The contracting party(ies)/authorised person whose signature/s I witnessed, was/were identified as follows:

Identity number

Signed at (place)  on (date)

Signature of person who identifies

Full name in print of person who identifies

Designation

OFFICIAL STAMP OF  
SIGNATORY

Existing contract number

